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on\_\_\_\_\_\_March 31, 2006

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TO: Commissioner For Patents

Fax No.: 571-273-8300

FROM: William H. Dippert

RE: US Patent Application Serial No. 10/536,479

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Applicant: Michael R. Treat Serial No.: 10/536,479 Filing Date: May 24, 2005

For: Harmonic Propulsion and Harmonic Controller Enclosures: 1. Information Disclosure Statement (2 pages);

2. Form PTO/SB/08A (1 page);

3. Copy of Japanese Patent No. JP 404299079 A with English language abstract (7 pages);

4. Search Report and Written Opinion from PCT Patent Application No. PCT/US04/32702 (9 pages)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. CQL221.228588

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael R. Treat

Confirmation No.: 6897

Customer No.: 054042

Serial No.:

10/536,479

Art Unit:

1745

Filing Date: May 24, 2005

For:

Harmonic Propulsion and Harmonic Controller

March 31, 2006

Via Facsimile: 571.273.8300 Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

SIR:

The enclosed Information Disclosure Statement ("IDS") is submitted in accordance with 37 C.F.R. §§ 197 and 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

Attorney Docket No. COL221-228588

Customer No.: 054042

In accordance with 37 C.F.R. § 1.98, a form PTO/SB/08A is attached hereto. Also attached are copies of (1) the reference L, (2) an English language abstract thereof, and (3) the Search Report and Written Opinion from corresponding PCT Patent Application No. PCT/US04/32702.

The filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in § 1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicants reserve the right to prove that the date of publication is in fact different.

Respectfully submitted,

March 31, 2006

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Complete if Known

10/536,479

May 24, 2005

Michael R. Treat

PYO/SB/08A (07-05)
Approved for use through 07/31/2006. DMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Number

First Named Inventor

Filing Date

Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Art Unit 1745

Examiner Name Patricia A. Booker

Attorney Docket Number COL221.228588

Examiner Initials		Number-Kind Code <sup>2 (/ Incom)</sup> US- 4,207,872	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear
-			06-17-1980	Meiri et al.	
	В	<sup>US-</sup> 4,325,264	04-20-1982	Sashida	
	С	US- 4,453,103	06-05-1984	Vishnevsky et al.	
	D	<sup>US-</sup> 5,140,976	08-25-1992	Cheng	
	Ε	<sup>US-</sup> 5,216,313	06-01-1993	Ohinishi et al.	
	F	US- 5,350,966	09-27-1994	Culp	
	G	US- 5,554,914	09-10-1996	Miyazawa	
	н	US- 5,595,565	01-27-1997	Treat et al.	
	1	<sup>US-</sup> 5,681,260	10-28-1997	Ueda et al.	
	J	<sup>US-</sup> 6,051,912	04-18-2000	Gonda	
	К	US- 6,217,533	04-17-2001	McCambridge	
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FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	76				
		Country Code <sup>3</sup> "Number * Kind Code <sup>3</sup> (if known)								
	L	JP 404299079 A	10-22-1992	Nakazawa		<u> </u>				
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Examiner Date	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Occurrents at <a href="https://www.uscto.ncw">www.uscto.ncw</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

transition is attached.
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